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(54) THE: NOVEL THERMOPLASTIC HYDROGEL POLYMER COMPOSITIONS FOR USE IN PRODUCING CONTACT LENSES AND METHODS OF PRODUCING SAID COMPOSITIONS

(57) Abstract: The present invention relates generally to production of thermoplastic materials which swell in water to produce hydrogels. These materials will hereafter be referred to as "thermoplastic hydrogels". They are useful as contact lenses or for use in vision correction prosthetics or as cosmetic devices. In particular, the invention relates to thermoplastic hydrogels which show improved flow characteristics.

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1	Novel Thermoplastic Hydrogel Polymer Compositions for use
2	in producing contact lenses and Methods of Producing said
3	Compositions
4	
5	The present invention relates generally to production of
6	thermoplastic materials which swell in water to produce
7	hydrogels. These materials will hereafter be referred to
8	as "thermoplastic hydrogels". They are useful as contact
9	lenses or for use in vision correction prosthetics or as
10	cosmetic devices. In particular, the invention relates
11	to thermoplastic hydrogels which show improved flow
12	characteristics.
13	
14	It is already known in the art to make contact lenses
15	using hydrogels. Generally these hydrogels do not
16	utilise poly(ethylene glycols) but are made from the
17	polymerisation of the single monomers HEMA, NVP or of
18	other products of free radical polymerisation. However,
19	these compositions generally are cross-linked and do not
20	flow and can only be moulded by reaction injection
21	moulding (RIM) or related "polymerisation in place"
22	processes, which are slow and relatively expensive

2

1 processes which are not particularly suited to contact

2 lens manufacture.

3

- 4 Attempts have been reported (US Patent number 4,644,033)
- 5 to incorporate the highly desirable properties of
- 6 poly(ethylene oxide) molecular chains into crosslinked
- 7 polyurethane materials for use, inter alia, in contact
- 8 lenses. It was found that such preparation procedures in
- 9 the absence of solvent produced only opaque products when
- 10 swollen in water. Such opaque products cannot be used
- 11 for the manufacture of contact lenses which demand
- 12 clarity. It was found that clear urethane cross-linked
- 13 polyethylene glycol products could be produced in the
- 14 presence of dry organic solvent. This adds the necessity
- 15 of solvent removal and raises questions of residual
- 16 solvent toxicity to the cost of manufacture.

17

- 18 Also, existing reaction injection moulding techniques
- 19 utilise free radical initiation or irradiation cure that
- 20 produces radicals. These radicals initiate a
- 21 peroxidation chain process, which leads ultimately to
- 22 damage of PEO based polymers in storage for use which
- 23 gives a short life to contact lenses produced from them.
- 24 There are also problems with bio-compatibility of
- 25 reaction injection moulded hydrogels which again is not
- 26 ideal for the manufacture of contact lenses where bio-
- 27 compatibility is importantant.

28

- 29 Additionally, the current cross linked polymer hydrogels
- 30 often have a very poor resistance to crack initiation and
- 31 crack propagation which again can be problematic when
- 32 producing contact lenses.

- 1 It can therefore be seen that it would be beneficial to
- 2 provide thermoplastic hydrogels which are capable of
- 3 being generally moulded under pressure so that contact
- 4 lenses can be easily and cheaply produced. .

- 6 It is an aim of the present invention to provide a
- 7 thermoplastic hydrogel composition which has the ability
- 8 to flow under moderate shear at particular temperatures
- 9 below the polymer decomposition temperature.

10

- 11 It is a further object of the present invention to
- 12 provide a thermoplastic hydrogel composition which can be
- 13 injection or compression moulded.

14

- 15 It is a further object of the present invention to
- 16 provide a solvent soluble composition.

17

- 18 Another object of the present invention is to provide a
- 19 thermoplastic hydrogel composition which is highly bio
- 20 compatible.

21

- 22 A yet further object of the present invention is to
- 23 provide thermoplastic hydrogels which have a high level
- 24 of water swelling properties after moulding and swelling
- 25 with water.

26

- 27 It is a further object of the present invention to
- 28 provide thermoplastic hydrogels which can cover a range
- 29 of degrees of water swelling.

- 31 It is a yet further object of the present invention to
- 32 provide thermoplastic hydrogels that by design and choice
- 33 are either clear or opaque to visible light.

1 According to a first aspect of the present invention, 2 3 there is provided a method of producing thermoplastic hydrogels for use in producing contact lenses, comprising 4 5 the steps of reacting one or more from the list; 6 polyethylene oxide, 7 polyol, polyamine, 8 9 with a polyisocyanate and a polyfunctional amine or 10 polyalcohol. 11 Preferably the polyol is polyethylene glycol. 12 13 Preferably, the method also comprises the step of end 14 capping unreacted groups with a unit capable of producing 15 16 hydrogen bonding, π bonding, ionic bonding, hydrophobic bonding and/or phase separation or forming a glassy or 17 crystalline phase separated domain. 18 19 20 Alternatively, according to a second aspect of the present invention, the method also comprises the step of 21 end capping unreacted groups with a unit from a list of: 22 23 Mono-functional amine Mono-functional isocyanate 24 Mono-functional anhydride 25 Mono-functional acid 26 A cyclic diacid anhydride 27 Mono-functional alcohol 28 29 Preferably the reaction between one or more from the list 30 polyethylene oxide 31 polyol 32 33 polyamine

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5

- 1 and a polyisocyanate is prepared using a range of NCO:OH
- 2 or NCO:NH₂ ratios.

3

4 Optionally a biodegradable unit may be incorporated.

5

- 6 The biodegradable unit may be polycaprolactone, poly
- 7 (lactic acid), poly(glycolic) acid or
- 8 poly(hydroxybutyric)acid, amine or hydroxyl ended
- 9 poly(amino) acids (protein or peptide analogues).

10

- 11 The ratios are preferably selected such that, at complete
- 12 reaction, the product does not form a macrogel.

13

- 14 Preferably the first step reaction is prepared using a
- 15 range of NCO:OH or NCO:NH $_2$ ratios from 2:1 to 1:2.

16

- 17 Optionally where both OH and NH_2 groups are used within
- 18 the single reaction, a range of NCO: $(OH+NH_2)$ ratios of 2:1
- 19 to 1:2.

20

- 21 Most preferably the first step reaction is prepared using
- 22 NCO:OH or NCO:NH $_2$ ratios of 2.0:1 to 1:1.8 and 1.8:1 to
- 23 1:1.8.

24

- 25 Optionally the range of ratios used may be extended by
- 26 the addition of monofunctional amines, alcohols or
- 27 cyanates.

28

- 29 Alternatively, a macrogel is prevented from forming by
- 30 stopping the reaction before completion.

6

- 1 Preferably, the reaction is stopped by the addition of a
- 2 monoamine, an amine terminated polymer, a mono-alcohol or
- 3 an alcohol terminated polymer.

4

- 5 Optionally, the monoamine, mono-alcohol, amine terminated
- 6 polymer or alcohol terminated polymer is added when the
- 7 reaction is partially complete.

8

- 9 Alternatively, an amine or alcohol is admixed at the
- 10 outset thus removing the possibility of gelation.

11

- 12 Preferably, the amine is added in the form of amine
- 13 carbonate.

14

- 15 Typically, products with NCO end groups are subjected to
- 16 a final curing by immersion in liquid water or steam
- 17 after moulding.

18

- 19 Preferably, in the second stage the unreacted groups are
- 20 capped with an amine.

21

22 Optionally, unreacted NCO groups are endcapped.

23

24 Another option is that unreacted OH groups are endcapped.

25

- 26 Preferably, terminal NCO groups are converted into a
- 27 strongly hydrogen bonding urea group.

28

- 29 Preferably, the unreacted groups are capped with an
- 30 aliphatic amine.

- 32 Optionally, the amine group is attached to a long linear
- 33 or branched alkyl group or to an aryl- or aralkyl-amine.

1 Optionally, the amine group is attached to polymers or 2 low molecular weight pre-polymers. 3 4 Alternatively, excess OH groups are capped with one or 5 more molecules from the list of; 6 mono-isocyanate ended aromatic molecules, 7 . mono-acid anhydride ended aromatic molecules, 8 mono-isocyanate ended aliphatic molecules, 9 mono-acid anhydride ended aliphatic molecules 10 reaction product of a monoamine with a di(or higher) 11 12 isocyanate. 13 The groups used in the endcapping process allow the 14 polymers to interact with physical or chemical cross-15 linking. The separate molecules or particles therefore 16 17 bind to each other. 18 According to the third aspect of this invention there is 19 provided a thermoplastic hydrogel for use in producing 20 contact lenses, prosthetic lenses or cosmetic lenses 21 produced by the methods of the first and second aspects. 22 23 Preferably, the hydrogel is completely polymerised under 24 the specific conditions that are being used. 25 Preferably, after polymerisation the hydrogel is heated.

26

27

28

Alternatively, after polymerisation the hydrogel is 29 immersed in water liquid or vapour. 30

8

Optionally, the end product may be pelletised, pressed,
extruded or heat, pressure, injection or compression
moulded.

Preferably, the end product incorporates an antioxidant

6 containing two or more hydroxyl groups.

7

8 The antioxidant may be internal or external.

9

10 Preferably, the antioxidant is ascorbic acid.

11

12 Alternatively, the antioxidant is 2,6-ditertiarybutyl4-

13 hyroxanisole.

14

15 Optionally the end product may develop opacity when

16 swollen in water, thereby behaving as though it a

17 contained a light scattering pigment with the appearance

18 of the sclera.

19

20 Optionally, the end product can incorporate dye(s).

21

22 Optionally the end product can incorporate pigment

23

24 Optionally the end product may be blended with a

25 water-soluble compatible solvent or plasticiser.

26

27 According to a fourth aspect of the present invention

28 there is provided a contact lens, prosthetic lens or

29 cosmetic lens produced from the hydrogel of the third

30 aspect.

- 1 An example of the present invention will now be
- 2 illustrated by way of example only and with reference to
- 3 the following figure, in which:

- 5 Figure 1 shows typical end groups that could be envisaged
- 6 as being associated in stacks as shown.

7

- 8 In the preferred embodiment, the thermoplastic materials
- 9 are prepared from mixtures of di (or higher) PEG polyol
- 10 with a di (or higher) polyisocyanate and/or a di (or
- 11 higher) polyamine.

12

- 13 First stage materials can also be made from many step-
- 14 growth reactions amongst which the reaction of PEG
- 15 polyols with polyacids with removal of reaction-produced
- 16 water is an option. The production of first stage
- 17 materials can also be guided by the art of making alkyd
- 18 resins in the paint industry.

- 20 If the product from the first stage reaction is made from
- 21 a mixture of PEG diol, 1, 2, 6-hexantriol and
- 22 diphenylmethane-4,4-diisocyanate, it can be prepared
- 23 using a range of NCO:OH ratios from, for example, 2:1 to
- 24 1:2. At the extremes of these ratios, the 2:1 will have
- 25 all NCO unreacted groups and the 1:2 ratio will have all
- 26 OH unreacted groups. These compositions are not able to
- 27 macrogel and will contain only small proportions of
- 28 modest molecular weight branched polymers. The product
- 29 is a fluid and suitable for injection, extrusion or
- 30 compression moulding at temperatures which are typically
- 31 below 150°C, although temperatures of 200°C to 250°C can
- 32 be utilised for short periods. It should be noted that
- 33 the products with NCO end groups can only be moulded and

10

subjected to final curing by immersion in liquid water or steam for a suitable period.

It is possible to use intermediate NCO:OH ratios, such as 2:1 to 1:1.8 and 1.8:1 to 1:1.8 (and these ranges can be

6 further extended by the addition of mono-functional

7 molecules). As these still provide at complete reaction,

8 fluid systems, which when the end groups, are NCO can be

9 injection moulded and post-cured by water or steam

10 immersion. However, depending on the proportion of tri

11 or higher functional materials, ratios such as 1.6:1 to

12 1:1.6 form macrogels at as complete a reaction as is

13 possible with the NCO and OH groups present (and less

14 extended ratios are possible if mono-functional amines,

15 alcohols or cyanates are used in the first stage. The

16 resulting products are not fuseable and are not solvent

17 soluble). It is possible that the products may still be

18 used for the second stage of the process, to give useful

19 end capped products, if the reaction is stopped before it

20 has proceeded as far as possible. This operation is less

21 convenient and more difficult as the degree of completion

22 of the reaction must be determined using, for example,

23 infra-red analysis of the isocyanate absorption peak of

24 the reaction mixture, or by the viscosity of the

25 reaction. Therefore, it is much preferred to use the

26 compositions which cannot macrogel, as they can be taken

27 to completion of the first stage without fear of

28 irreversibly solidifying the reactants.

29

30 A preferred embodiment is that the first stage product is

31 a heavily branched polyurethane/PEG resin. In this

32 embodiment, the second stage is intended to convert each

33 of the terminal groups into a strongly hydrogen bonding

- 1 urea group. An aliphatic amine could be used and the
- 2 amine group could be attached to a short or long linear
- 3 or branched (preferably linear) alkyl group, such as
- 4 decyl or stearic or higher polyamines such as amine ended
- 5 polyethylene, or to an aryl or aralkylamine, such as
- 6 aniline, aminoanthracene or octylaniline. The
- 7 combination of the urea group and the long aliphatic
- 8 chain or aromatic ring will promote association and phase
- 9 separation of these groups with development in the
- 10 product material of toughness and strength by hydrogen
- 11 bonding and hydrophobic bonding. This will be especially
- 12 the case where an aromatic diisocyanate has been utilised
- 13 in stage one.

- 15 Figure 1 shows a diagram of a typical end group which
- 16 could be envisaged as associating in stacks, as shown.
- 17 The association of many such end groups should provide
- 18 increased cohesion and strength to the product.

19

- 20 Once the initial homogeneous mixing has been completed,
- 21 then the still fluid mix may be poured into suitable
- 22 containers, such as polypropylene moulds. The
- 23 polymerisation (curing) of the finished product can then
- 24 be completed. In order to provide an oxidation resistant
- 25 product, it is particularly useful to incorporate a
- 26 reactive antioxidant containing two or more hydroxyl
- 27 groups, for example, ascorbic acid (alternatively an
- 28 external anti-oxidants may be used). Alternatively the
- 29 antioxidant may be added in earlier during the first
- 30 stage.

1 The final product can be extruded or spun into film or

- 2 fibre or coated onto staple or continuous preformed
- fibres to provide a form of product which can be 3
- knitted, braided woven or otherwise fabricated by 4
- techniques well know to those skilled in the art. The 5
- product has a number of benefits, in particular as there 6
- will be no unreacted extractable groups left in the 7
- completed product, it is particularly useful for contact 8
- lenses as it is bio-compatible. There is also the 9
- benefit that materials made from the final hydrogel 10
- 11 product which are soft and strong would be comfortable
- and re-useable again something which can be particularly 12
- 13 useful in contact lens manufacture. The final product
- 14 would also have the benefit of being intrinsically
- 15 rubbery in their dry state, and therefore contact lenses
- 16 would not set rigid when dried out. Also, coloured dyes
- 17 and pigments can be put into the final product easily,
- which cannot be done readily with similar cross-linked 18
- hydrogels and this could be useful when making "fashion" 19
- contact lenses, or sun protective or prosthetic contact 20
- 21 lenses which have colours, designs or dyes with
- 22 particular characteristics incorporated into them.

- 24 The product of this invention can be designed to either
- be clear for vision correction contact lenses or opaque 25
- 26 for cosmetic lenses or prosthetic lenses. The general
- empirical rule for clear lenses is that the components 27
- should be compatible in both the reaction mixture and in 28
- 29 the product. The well known solubility parameters
- 30 available may be used as a quide to materials that will
- be compatible and produce clear lenses. Reaction 31
- 32 materials having large solubility parameter differences
- 33 but which provide a homogenous reaction mixture will be

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- 1 likely to produce opaque white material on
- 2 polymerisation. Such materials are desirable for the
- 3 simulation of bright white sclera for cosmetic or
- 4 prosthetic lenses. When reaction mixtures are changed
- 5 systematically within a series of identical reagents in
- 6 varying ratios, often both clear and opaque formulations
- 7 are formed from particular ranges of compositions made
- 8 from the same stock of starting materials.

9

- 10 It is worth noting that in many cases clear lenses occur
- 11 mainly when using aromatic amines and opaque when using
- 12 aliphatic amines, although this is not necessarily always
- 13 the case.

14

15 Examples

16

- 17 1. POLYMERS PREPARED BY USING THE ALIPHATIC AMINE
- 18 ETHYLENEDIAMINE (EDA) AND ALIPHATIC ISOCYANATE
- 19 DICYCLOHEXYLMETHANE-4,4'-DIISOCYANATE (DesmodurW). 1,2,6-
- 20 HEXANE TRIOL (HT) WAS ALSO USED. THE POLY (ETHYLENE
- 21 GLYCOL) (PEG) HAD A MEASUED NUMBER AVERAGE MOLECULAR
- 22 WEIGHT OF 3130 AND THE POLY (PROPYLENE GLYCOL) PPG A VALUE
- 23 **OF 425.**

24

- 25 The following compositions were prepared where the
- 26 symbols carry the usual names.

27 28

(a) PUU3130CX (0.5HT) (0.5EDA)

30		mol	intended wt	actual wt
31			used (g)	used (g)
32	PEG 3130	(1)	5.00	5.00
33	PPG 425	(15)	10.1837	10.188

14

1	HT `	(0.5)	0.1071	0.1071
2	EDA	(0.5)	0.048g	0.050
3	DesmodurW	(18.11)	7.5950g	7.595
4	FeCl ₃	0.02 wt%	4.58mg	4mg

5 6

Procedure

7

- 8 The following method of preparation was used for all of
- 9 the examples that follow. All of the reaction components
- 10 were either dry as used or else they were dried (i.e.
- 11 the PEO AND PPG) using a "Rotavap" rotating heated vacuum
- 12 drier. The dry PEG , PPG and HT were placed in a beaker
- 13 and heated to 95C and mixed thoroughly with the aid of a
- 14 glass rod. The anydrous ferric chloride catalyst was then
- 15 blended in small increments at a time with stirring
- 16 ensuring that each small addition was dissolved before
- 17 the next was added. When an amine was used it was added
- 18 and blended in a similar fashion. Finally the DesmodurW
- 19 diisocyanate was added as rapidly as possible with
- 20 stirring and the reaction allowed to proceed at 95C.

21

- 22 Cured for 20 hours at 95°C. The product was solid at room
- 23 temperature and thermoplastic at elevated temperatures.
- 24 It formed contact lenses, by the usual method of pressing
- 25 between polypropylene moulds, which were readily
- 26 demoulded when cold.

27

- 28 The lens was initially clear but became slightly hazy in
- 29 water.

30

- 31 The polymer swelled to high degree in tetrahydrofuran but
- 32 would not dissolve.

(b) PUU3130DX (0.5HT (0.	.5EDA)
--------------------------	--------

2		mol	intended wt.	actual wt
3			used (g)	used (g)
4	PEG 3130	(1)	5.00	5.124
5	PPG 425	(20)	13.5782	13.580
6	HT	(0.5)	0.10717	0.1071
7	EDA	(0.5)	0.0480	0.059
8	DesmodurW	(23.3625)	9.7965	9.796
9	FeCl ₃	0.02 wt%	5.7mg	6mg

1

Procedure

12

13 Synthesised in the manner presented above. The product

14 was a soft solid which was thermoplastic. It was a

15 suitable material for further modification by reaction

16 with amine or hydroxyl-containing modifiers as is

17 illustrated for a related composition in the following

18 example in which the proportion of isocyanate-containing

19 component DesmodurW is increased.

20

21 The compression method afforded lenses very easily from

22 this product without further reaction but the product was

23 very sticky and did not demould in dry state. The lens

24 with mould was immersed in water over weekend after which

25 time the lens had swollen off it's support. It was

26 soluble in THF.

27 28

29 2. A STAGE1 POLYMER WITH EXCESS OF ALIPHATIC ISOCYANATE

30 AND A LINEAR ALIPHATIC AMINE (0.75 EDA)

31

32 PUU3130CX(0.5HT) (0.75 EDA) with excess of DesmodurW

16

1		mol	intended wt.	actual wt.
2			Used (g)	used (g)
3	PEG 3130	(1)	5.00	5.00
4	PPG 425	(15)	10.1837	10.188
5	HT	(0.5)	0.1071	0.1071
6	EDA	(0.75)	0.071	0.072
7	DesmodurW	(44.0)	18.450	18.375
8	FeCl ₃	0.02 wt%	4.58mg	5mg

9

Procedure

11

10

12 The usual method. The product solidified on cooling but

13 melts when hot.

14

15 This product produced lens-shape by the usual method. The

16 product was immersed in water when it fragmented. White

17 sections of polymer were obtained in the lens mould.

18 This material was soluble in methanol and precipitated

19 when a little water were added. The product was also

20 soluble in tetrahydrofuran. It is not suitable for

21 moulding into lenses but is used here to exemplify the

22 ready formation of end-capped modified thermoplastic

23 polymers using the following end group modifiers by:

24

25 2.a Reaction with benzylamine in the absence of solvent.

26

27 2.b Reaction with butylamine in the absence of solvent

28

29 2.c Reaction with dibutylamine in the absence of solvent

30

31 Those expert in the synthesis of polymers would readily

32 see how to extend this procedure to many other simple

33 amine or hydroxyl-containing molecules and to end-capping

17

with many amine and hydroxyl ended low-molecular weight 1 2 polymers. 3 2.a Reaction with Benzylamine 4 5 Wt of the prepolymer with excess isocyanate = 6 4.275g Wt of the benzylamine 7 1.00q 8 9 Procedure 10 11 Both materials were mixed and allowed to react at 95°C for 12 half an hour. The materials were in a round bottom flask 13 that was rotated using a rotary evaporator while immersed in an oil bath at 95°C. 14 15 16 The product was thermoplastic and fluid and could be readily moulded into a lens that appeared optically 17 transparent though it was fragile and broke when attempts 18 were made to detach it from the mould. 19 20 21 2.b Reaction with Butylamine 22 23 Weight of the prepolymer = 4.278q 24 Weight of the butylamine = 1.380q 25 26 Procedure 27 The reaction was carried out in a beaker placed in an 28 oven at 95°C with stirring manually, using a glass rod. 29 30 The polymerising mixture was cured for 2 hours at 95°C. The product was a brittle, hard, thermoplastic which 31 32 forms a clear lens.

1 2.c Reaction with Dibutylamine

2

3 Weight of the stagel polymer = 4.275g
4 Weight of the benzylamine = 1.380g

5

6 The procedure used was the same as described in (2.b).

7

- 8 The product was a sticky, thermoplastic which can be
- 9 moulded into a lens easily but is physically rather weak
- 10 after immersion in water.

11

- 12 3. PREPOLYMER WITH EXCESS OF OH GROUPS CONTAINING EXCESS
- 13 HYDROXYL AND UREA UNITS FORMED USING AN AROMATIC AMINE
- 14 DIPHENYLMETHANE-4,4'-DIISOCYANATE (DPDA).

15

16 PUU3130CX(0.5HT) with excess of alcohol groups

17

18		<u>mol</u>	intended wt	actual wt
19			used (g)	used (g)
20	PEG 3130	(1)	10.00 g	10.01
21	PPG 425	(15)	20.3674	20.376
22	HT	(0.5)	0.2143	0.214
23	EDA	(0.5)	0.3167	0.317
24	DPDA	(10.25)	8.5962	8.63 g
25	FeCl ₃	0.02 wt%	7.96 mg	8.0 mg

- 27 The prepolymer was prepared as above and remained liquid
- 28 after 5 hours of reaction at 95°C. The mixture could not
- 29 be gelled and demonstrates the ability of compositions
- 30 having a suitable excess of one of the reacting groups to
- 31 provide a stage1 polymer without the possibility of
- 32 gelling in the reaction vessel. On cooling the material
- 33 solidifies but melts again when heated. It forms a

- 1 useful basis for either end-capping with desired
- 2 materials or for preparing reactive mixtures which can be
- 3 formed into lens shapes and subsequently crosslinked by
- 4 heating. Because of its fast rate of reaction the
- 5 aliphatic diisocyanate DPDA is a very suitable
- 6 crosslinking agent.

- 8 This prepolymer was used for the following curing
- 9 reaction:

10

11 The Prepolymer and Desmodur W

12

- 13 Weight of the stage 1 polymer with excess of alcoholic
- 14 groups =10.0 g
- 15 DesmodurW added to the same beaker =1.467 g

16

- 17 Mixed well. The resulting very fluid material was.poured
- 18 into a polypropylene tube and cured for 4 hours at 95°C.
- 19 The polymer gelled sometime within 2 hours and on cooling
- 20 provided a strong crosslinked product. This would clearly
- 21 have been able to be moulded and formed into crosslinked
- 22 lenses before the second stage curing.

2324

- Incorporation of antioxidant butylated hydroxyl anisole
- 25 (BHA) and using diphenylmethane-4,4'-diamine(DPDA)
- 26 PUU5950 BX (0.75 HT)

28		mol	intended wt.	Actual wt
29			used (g)	used (g)
30	PEG 5950	(1)	10	10.00
31	HT	(0.75)	0.1691	0.169
32	PPG 425	(10)	7.1428	7.147
33	DesmodurW	(13.2562)	5.8483	8.63 g

1	ВНА	(0.03% by	3 mg	3 mg
2		wt of PEG)		
3	DPDA	(0.5)	0.1666	0.166
4	FeCl ₃	0.02 wt%	4.66 mg	4.0 mg

5

- 6 When BHA added to the reaction there was a very slight
- 7 darkening in the colour, change in colour. The reaction
- 8 product was fluid and could be moulded into clear contact
- 9 lenses.

10 11

Procedure

12

HT and PPG, FeCl₃ and DPDA, BHA and D were mixed in this order and allowed to cure in polypropylene test-tube.

15

••	16	The second to the second control and supplied the supplied the supplied to the second to the second	mol	intended wt	actual wt
	17			used (g)	used (g)
	18				
	19	PEG 5950	(1)	10	10.02
	20	HT	(0.75)	0.1691	0.171
	21	PPG 425	(10)	7.1428	7.147
	22	DesmodurW	(13.2562)	5.8483	8.63 g
	23	вна	.3% by wt.	0.3000 mg	0.305 mg
	24		of PEG)		
	25	DPDA	(0.5)	0.1666	0.166
	26	FeCl ₃	0.02 wt%	4.66 mg	6.0 mg

- 28 In the case of the very high 3% level of BHA the reaction
- 29 became immediately very dark but returned to slightly
- 30 darker yellow than expected without BHA when PEG was
- 31 added and mixed. No other visual effect was observed and
- 32 the product set solid when cold and became fluid and

- 1 mouldable when hot when it could be moulded readily into
- 2 transparent lenses

- 4 These results show that the antioxidant BHA can be
- 5 incorporated into the stagel reaction.

. 6

7

- 8 4. THERMOPLASTIC HYDROGELS SUITABLE FOR USE IN CLEAR
- 9 VISION CORRECTION CONTACT LENSES.

10

- 11 Thermo plastic hydrogelcompositions were made from
- 12 poly(ethylene glycol), poly(propylene glycol), 1,2,6-
- 13 hexane triol, dicyclohexylmethane-4,4'-diisocyanate and
- 14 diphenylmethane-4,4'-diamine(DPDA). The overall
- 15. composition has a functionality of >2.
- 16 Three batches of poly(urethane urea) denoted PUU polymers
- 17 coded PUU 5950 BX (0.5 HT), PUU 5950 BX (0.6 HT), and PUU
- 18 5950 BX (0.75 HT) were prepared by a single step bulk
- 19 polymerisation method described below. The molar
- 20 compositions and the weight compositions are given in the
- 21 next two tables below.

22

23 Chemical compositions PUU polymers

Polymer u	nit	denoted	SOFT BI	OCKS		HARD BLO	CKS	TRIOL
as								
			PEG	PPG	425	DPDA	Desmodur W	нт
	- ,-		moles	(mol	es)	(moles	(moles)	(mole
)		s)
PUU5950	BX	(0.5HT)	1	10		0.5	12.8625	0.5
Batch 1,2,	3							
PUU5950	вх	(O.6HT)	1	10		0.5	13.02	0.6
Batch 1,2,	3				-			

PUU5950	BX	(0.75HT)	1	10	0.5	13.2562	0.75
Batch 1,	2,3						

- 2 Ferric chloride catalyst was used as 0.02 wt% of the
- 3 reactants
- 4 DesmodurW was used as 5 mol% in excess of stoichiometric
- 5 quantity
- 6 The appropriate quantities of hexane triol (HT) and
- 7 dehydrated PPG 425 weighed into a beaker to which the
- 8 calculated quantity of ferric chloride catalyst was
- 9 added. The beaker was then placed in the oven at 95 C.
- 10 Within ~15 minutes the catalyst dissolved assisted by
- 11 occasional stirring. The DPDA was then added, mixed and
- 12 left in the oven. Once the DPDA had dissolved the
- 13 dehydrated molten PEG was added, mixed thoroughly and
- 14 left in the oven for few minutes. Finally, the required
- 15 amount of Desmodur W (dicyclohexylmethane-4,4'-
- 16 diisocyanate) was directly weighed into the beaker
- 17 containing the other reactants, mixed and left in the
- 18 oven with occasional stirring for 15 minutes. This was
- 19 then poured into preheated polypropylene moulds and
- 20 placed in the oven at 95 deg C to cure over 22 hours.
- 21 After this period the oven was switched off, the product
- 22 was allowed to cool and readily demoulded after quenching
- 23 in liquid nitrogen.

Weights of reactants used

Polymer denoted as		SOFTB	LOCK	HARD B	LOCK	Triol
		PEG	PPG 425	DPDA	Desmodur	HT (g)
		(g)	(g)	(g)	W (g)	
PUU5950BX	(0.5HT)	10	7.1428	0.166	5.6746	0.1127

Batch1,2,3				6		
PUU5950BX	(0.6HT)	10	7.1428	0.166	5.7441	0.1353
Batch1,2,3				6		
PUU5950 BX	(0.75HT)	10	7.1428	0.166	5.8483	0.1691
Batch1,2,3				6		

1

2 Ferric chloride was used as 0.02 wt% of the reactants

- 3 DesmodurW was sued as 5 mol% in excess of stoichiometric
- 4 quantity
- 5 Actual amounts of the materials used were kept close to
- 6 the calculated values

7 8

Swelling test.

9

- 10 Slices from polymer billets were cut and three slices of
- 11 essentially identical thickness were allowed to swell to
- 12 equilibrium in water at ambient temperature. The swelling
- 13 (%) calculated by the equation: % swelling = Weight of
- 14 the swollen slice weight of the dry slice/weight of the
- 15 swollen slice. The three test results were averaged.

16 17

Test of thermoplasticity.

- 19 A small disk of the thermoplastic hydrogel was placed
- 20 between two polypropylene moulds which when compressed
- 21 formed a prescription contact lens shape between their
- 22 faces. The disk was placed into the female section and
- 23 the male half of the mould was placed on top. After 10
- 24 minutes heating at 95C the ability of the test
- 25 thermoplastic hydrogel composition to flow and form a
- 26 contact lens when cooled to room temperature was
- 27 evaluated under the pressure between the thumb and
- 28 forefinger. The mould was cooled and the solid moulded

1 contact lens removed using forceps and then made

2 available for testing.

3

4 Average swelling of PUU polymers in water at ambient

5 temperature.

6

Polymer composition	n Swelling	Swelling	Average
	data (pph) data (%)	Swelling (%)
PUU5950BX (0.5	HT) 253.6	72	
Batch 1			
PUU5950BX (0.5	HT) 253.7	72	
Batch 2			
PUU5950BX (0.5	HT) 244.8	71	72
Batch 3			

7

PUU5950BX	(0:6HT)	246.6	71	
Batch 1				
PUU5950BX	(0.6HT)	229.9	70	
Batch 2				
PUU5950BX	(0.6HT)	279.7	74	71
Batch 3				

8

PUU5950BX	224.5	69	
(0.75HT)Batch 1			
PUU5950BX	239.3	70	
(0.75HT)Batch 2			
PUU5950BX	222.7	69	69
(0.75HT)Batch 3			

Ą

Swelling test results

10 11

12 The results from the swelling tests in water at ambient

13 temperature are summarised in Table 3. Only a small

14 variation in the swelling values was seen in the polymers

25

- 1 that contained HT (see Table 3) in spite of the
- 2 significant change in the amount of the triol used. The
- 3 visual appearance of the swollen polymers also varied. It
- 4 was observed that the PUU 5950 BX (0.5 HT) polymer
- 5 occasionally afforded "frostiness" possibly due to micro
- 6 stress cracking in the water- swollen state. Polymer PUU
- 7 5950 BX (0.6 HT) with slightly increased HT from 0.5
- 8 molar to 0.6 molar showed the effect in an occasional
- 9 batch. However such "frostiness" in PUU 5950 BX(0.75HT)
- 10 was not observed at all. The lenses produced were
- 11 transparent and the obtained degree of swelling of 69% is
- 12 a very useful figure for contact lenses.

13

14 Some selected results from GPC analysis of PUU polymers

15

Polymer	M _n	M _w	M _w /M _n
PUU5950BX	6.603 x 10 ³	1.674 x 10 ⁴	2.535±0.044
(0.5HT) Batch			
1			
PUU5950BX	6.399 x 10 ³	1.456 x 10 ⁴	2.275±0.038
(0.5HT) Batch			
2			
PUU5950BX	6.049 x 10 ³	1.349×10^4	2.231±0.059
(0.5HT) Batch			
3			

16 17

18 The following conclusions can be drawn from the above

19 20

* All polymer compositions investigated were thermoplastic and afforded contact lenses when subjected to the compression technique.

22 23

• The chemical structure of all the polymer compositions were shown to be similar by the FTIR analysis and reproducible within three batches of a given polymer composition.

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■ GPC analysis Table 4 confirmed good reproducibility among three batches of a given polymer composition. The polydispersity values of all the polymers were from 2.2-2.6. These values are quite broad but consistent with that to be expected from a stepgrowth polymerisation. The Mw and Mn values within three batches of a given polymer composition were quite similar - a desired result and indicates a good reproducibility.

14 15 16

17

18

1.9

• FTIR analysis clearly indicated that free primary amine of DPDA after polymerisation has disappeared and been converted to secondary amine to form a urethane/urea group of the polymer structure.

20

- 21 5. PREPARATION OF THERMOPLASTIC HYDROGELS FROM A
- 22 POLYURETHANE WITHOUT THE USE OF A DIAMINE AS A COMPONENT
- 23 OF THE STAGE 1 COPOLYMER

- 25 The polymers were made according to a closely similar to
- 26 the procedure described previously.above. The reactants
- 27 were poly(ethylene glycol) described by the supplier as
- 28 PEG6000 and meaning a PEG having a number average
- 29 molecular weight close to 6000. 1,2,6-hexanetriol, and
- 30 dicyclohexylmethane-4,4'-diisocyanate (DesmodurW) and
- 31 using anhydrous ferric chloride (0.2mg per g. of
- 32 reactants) as the catalyst. The molar proportions used
- 33 are given in Table 4 below. Other compositions made

1 nearer to stoichiometry of the hydroxyl and isocyanate

- 2 groups crosslinked during the curing reaction so at
- 3 complete reaction could not provide thermoplastic

4 hydrogels. They would have done so if the reactions had

5 been terminated prior to complete reaction.

solid pliable hydrogel films.

Preparation	PEG6000	1,2,6-	Desmodur
number		HEXANETRIOL	W
1	1 MOLE	1 MOLE	3.75
2	1 MOLE	1 MOLE	5.0

After fours hours cure at 90C the products were cooled demoulded and stored in sealed bags away from air and light. A few of the samples were converted into thin slices and sample slices were evaluated for their ability to thermoform in a "Rosslyn" heat press. At 115C the slices became very fluid and could be pressed into thin films. These became solid at 110C and formed

Cut film samples were allowed to swell in water to equilibrium when they became clear transparent gels. They were insoluble in water but swelled to a high degree as given in the table below.

Sample	Dry weight	Swollen	ે
	of slice in	weight of	equivalent
	g.	slice	of
			isocyanate
			in the
			preparation
1	.730	3.845	1.5
2	.223	2.282	2.0

28

1 2 Sample 1 was completely soluble in methanol demonstrating 3 that it was not a crosslinked gel before contact with water when the residual isocyanate groups would have been 4 5 converted to urea crosslinks. 6 It can be seen that the embodiments disclosed are both or 7 8 merely exemplary of the present invention, which may be 9 embodied in many different forms. Therefore, details

10 disclosed herein are not to be interpreted as limiting,

11 but merely as a basis for the claims and for teaching one

12 skilled in art as to the various uses of the present

13 invention in any appropriate matter. In particular, it

14 should be noted that a wide variety of changes can be

15 made in this process.

16
17 For example, pre-polymers with excess OH can be capped

18 with a mono-isocyanate ended aromatic or aliphatic

19 molecule or with a reaction product of a mono-amine with

20 di or higher isocyanate. The low molecular weight amine

21 could be replaced with a low molecular weight polymeric

22 amine, such as low M_n primary and secondary amine ended

23 nylon polyamide) or polypropylene oxide, poly(butanediol)

24 or low molecular weight polymers producing glassy domains

25 such as end-capped polystyrenes or amine end-capped

26 hydrophobic and crystalline domain forms such as

27 poly(ethylene) units. The reaction can be between such

28 amine ended PEGs (poly(ethylene glycols)) and PPGs

29 (poly(propylene glycols)) and di or higher amines and di

30 or higher isocyanates, but done in solvents to allow

31 suitable reduced viscosity to be obtained. Also, to slow

32 down the amine reaction, the amine can be added at the

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- 1 outset as the carbonate version of amine carbonate,
- 2 resulting from the reaction of amine and carbon dioxide.

3

- 4 Also, stage one hydroxlic excess polymers could be
- 5 reacted with a phase separating polymer end capped with
- 6 an anhydride group.

- 8 Finally, it should be noted that this end capping process
- 9 could be applied to a wide variety of polymers, such as
- 10 polyesters, nylons, polyurethanes, polyureas, polyethers,
- 11 polyolefins, polyvinyls and poly(meth)acrylates.

1	Claims
12	
3	1. A method of producing thermoplastic hydrogels for use
4	in producing contact lenses, comprising the step of
5	reacting one or more from the list;
6	polyethylene oxide,
7	polyol,
8	polyamine,
9	with a polyisocyanate and a polyfunctional amine or
10	polyalcohol.
11	
12	2. A method of producing thermoplastic hydrogels for use
13	in producing contact lenses, comprising the step of
14	reacting one or more from the list
15	polyethylene oxide
16	polyol
17	polyamine
18	and a polyisocyanate that is prepared using a range of
19	NCO:OH or NCO:NH2 ratios.
20	
21	3. A method of producing thermoplastic hydrogels as in
22	Claims 1 or 2 wherein the polyol is polyethylene
23	glycol.
24	
25	4. A method of producing thermoplastic hydrogels as in any
26	of the previous Claims wherein the method also
27	comprises the step of end capping unreacted groups with
28	a unit capable of producing hydrogen bonding, π
29	bonding, ionic bonding, hydrophobic bonding and/or
30	phase separation or forming a glassy or crystalline
31	phase separated domain.
32	

31

1 5. A method of producing thermoplastic hydrogels as in

- 2 Claims 1 3 wherein the method also comprises the step
- 3 of end capping unreacted groups with a unit from a list
- 4 of:
- 5 Mono-functional amine
- 6 Mono-functional isocyanate
- 7 Mono-functional anhydride
- 8 Mono-functional acid
- 9 A cyclic diacid anhydride
- 10 Mono-functional alcohol

11

- 12 6. A method of producing thermoplastic hydrogels as in any
- of the previous Claims wherein a biodegradable unit may
- 14 be incorporated.

15

- 16 7. A method of producing thermoplastic hydrogels as in
- 17 Claim 6 wherein biodegradable unit may be
- polycaprolactone, poly (lactic acid), poly(glycolic)
- 19 acid or poly(hydroxybutyric)acid, amine or hydroxyl
- 20 ended poly(amino) acids (protein or peptide analogues).

21

- 22 8. A method of producing thermoplastic hydrogels as in any
- of the previous Claims wherein the ratios of the
- components are selected such that, at complete
- 25 reaction, the product does not form a macrogel.

26

- 27 9. A method of producing thermoplastic hydrogels as in any
- of the previous Claims wherein the reaction is prepared
- using a range of NCO:OH or NCO:NH2 ratios from 2:1 to
- 30 1:2.

- 32 10. A method of producing thermoplastic hydrogels as in
- any of the previous Claims wherein where both OH and

32

NH₂ groups are used within the single reaction, a range of NCO: (OH+NH₂) ratios of 2:1 to 1:2.

3

- 4 11. A method of producing thermoplastic hydrogels as in any of the previous Claims wherein the first step
- 6 reaction is prepared using NCO:OH or NCO:NH2 ratios of
- 7 2.0:1 to 1:1.8 and 1.8:1 to 1:1.8.

8

- 9 12. A method of producing thermoplastic hydrogels as in
- any of the previous Claims wherein the range of ratios
- 11 used may be extended by the addition of monofunctional
- 12 amines, alcohols or cyanates.

13

- 14 13. A method of producing thermoplastic hydrogels as in
- any of the previous Claims wherein a macrogel is
- 16 prevented from forming by stopping the reaction before
- 17 completion.

18

- 19 14. A method of producing thermoplastic hydrogels as in
- 20 Claim 13 wherein the reaction is stopped by the
- 21 addition of a monoamine, an amine terminated polymer, a
- 22 mono-alcohol or an alcohol terminated polymer.

23

- 24 15. A method of producing thermoplastic hydrogels as in
- 25 Claim 14 wherein the monoamine, mono-alcohol, amine
- terminated polymer or alcohol terminated polymer is
- added when the reaction is partially complete.

28

- 29 16. A method of producing thermoplastic hydrogels as in
- 30 Claims 1-12 wherein an amine or alcohol is admixed at
- 31 the outset thus removing the possibility of gelation.

33

A method of producing thermoplastic hydrogels as in 1 Claim 16 wherein the amine is added in the form of 2 3 amine carbonate. 4 A method of producing thermoplastic hydrogels as any 5 of the previous Claims wherein products with NCO end 6 groups are subjected to a final curing by immersion in 7 liquid water or steam after moulding. 8 9 A method of producing thermoplastic hydrogels as in 10 any of the previous Claims wherein, after the initial 11 reaction, a second stage occurs, and in the second 12 stage the unreacted groups are capped with an amine. 13 14 20. A method of producing thermoplastic hydrogels as in 15 Claim 19 wherein unreacted NCO groups are endcapped. 16 17 A method of producing thermoplastic hydrogels as in 18 Claim 19 wherein unreacted OH groups are endcapped. 19 20 A method of producing thermoplastic hydrogels as in 21 Claims 19 and 20 wherein terminal NCO groups are 22 converted into a strongly hydrogen bonding urea group. 23 24 A method of producing thermoplastic hydrogels as in 25 Claims 19-22 wherein the unreacted groups are capped 26 with an aliphatic amine. 27 28 24. A method of producing thermoplastic hydrogels as in 29 Claim 23 wherein the amine group is attached to a long 30 linear or branched alkyl group or to an aryl- or 31

32 33 aralkyl-amine.

34

1 25. A method of producing thermoplastic hydrogels as in

- Claim 23 wherein the amine group is attached to
- 3 polymers or low molecular weight pre-polymers.

4

- 5 26. A method of producing thermoplastic hydrogels as in
- 6 Claims 19 and 21 wherein, excess OH groups are capped
- 7 with one or more molecules from the list of;
- 8 mono-isocyanate ended aromatic molecules,
- 9 mono-acid anhydride ended aromatic molecules,
- 10 mono-isocyanate ended aliphatic molecules,
- 11 mono-acid anhydride ended aliphatic molecules
- reaction product of a monoamine with a di(or higher)
- 13 isocyanate.

14

- 15 27. A method of producing thermoplastic hydrogels as in
- 16 Claims 19-26 wherein the groups used in the endcapping
- 17 process allow the polymers to interact with physical or
- 18 chemical cross-linking.

19

- 20 28. A thermoplastic hydrogel for use in producing
- 21 contact lenses, prosthetic lenses or cosmetic lenses
- 22 produced by the methods described in Claims 1-27.

23

- 24 29. A thermoplastic hydrogel as in Claim 28 wherein the
- 25 hydrogel is completely polymerised under the specific
- 26 conditions that are being used.

27

- 28 30. A thermoplastic hydrogel as in Claims 28 and 29
- 29 wherein after polymerisation the hydrogel is heated.

- 31 31. A thermoplastic hydrogel as in Claims 28 and 29
- 32 wherein after polymerisation the hydrogel is immersed
- 33 in water liquid or vapour.

35

2 32. A thermoplastic hydrogel as in Claims 28 - 31

3 wherein the hydrogel may be pelletised, pressed,

4 extruded or heat, pressure, injection or compression

5 moulded.

6

1

7 33. A thermoplastic hydrogel as in Claims 28 - 32

8 wherein the end product incorporates an antioxidant

9 containing two or more hydroxyl groups.

10

11 34. A thermoplastic hydrogel as in Claim 33 wherein he

12 antioxidant may be internal or external.

13

14 35. A thermoplastic hydrogel as in Claims 33 and 34

wherein the antioxidant is ascorbic acid.

16

17 36. A thermoplastic hydrogel as in Claims 33 and 34

wherein the antioxidant is 2,6-ditertiarybuty14-

19 hyroxanisole.

20

21 37. A thermoplastic hydrogel as in Claims 28 - 36

22 wherein the hydrogel develops opacity when swollen in

23 water.

24

25 38. A thermoplastic hydrogel as in Claims 28 - 37

wherein the hydrogel incorporates dye(s).

27

28 39. A thermoplastic hydrogel as in Claims 28 - 38

29 wherein the hydrogel incorporates pigment.

30

31 40. A contact lens, prosthetic lens or cosmetic lens

32 produced from the hydrogel of Claims 28-39.

1/1

$$-CONH$$
 $-CH_2$
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INTERNATIONAL SEARCH REPORT

Internation plication No PCT/GB 03/03802

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A. CLASSIF IPC 7	FICATION OF SUBJECT MATTER CO8G18/10 CO8G18/66 GO2B1/04		
According to	International Patent Classification (IPC) or to both national classifica	tion and IPC	
B. FIELDS	SEARCHED		
Minimum do IPC 7	cumentation searched. (classification system tollowed by classification ${\tt C08G-G02B}$	on symbols)	
Documental	ion searched other than minimum documentation to the extent that s	uch documents are included in the fields se	earched
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1	6 December 2003	02/01/2004	
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